

Express Mail mailing number: EL 488941404 US  
Docket Number: TIP0044-USPCT

PTO-1390(Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 371 CONCERNING A FILING UNDER 35 U.S.C.</b>		ATTORNEY'S DOCKET NUMBER TIP0044-USPCT
		U.S. APPLICATION NO. (If known) see 37 CFR 1.5) <b>10/540057</b>
INTERNATIONAL APPLICATION NO. PCT/EP2003/051105	INTERNATIONAL FILING DATE 26 December 2003	PRIORITY DATE CLAIMED 27 December 2002

TITLE OF INVENTION **FLUOROGENIC ENZYME SUBSTRATES AND METHODS OF PREPARATION**

APPLICANT(S) FOR DO/EO/US: Jan Ludwig GOEMAN; Koenraad Lodewijk August VAN ACKER; Johan Theo Andre VAN DER EYCKEN; Inge DIERYNCK

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below
  4. ☐ The US has been elected (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is attached hereto (required only if not communicated by the International Bureau), as well as the Published Application No. WO 2004/058787 A2 and WO 2004/058787 A3
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☐ is attached hereto
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) has been filed in the PCT Request (Rule 4.17 (iv)).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A preliminary amendment.
  14. ☐ An Application Data Sheet under 37 CFR 1.76.
  15. ☐ A substitute specification.
  16. ☒ A power of attorney and/or change of address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
  18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information: Certificate Under 37 CFR 3.73(b), Return receipt Postcard & Express Mail Certificate; Copy of PCT Request with Declaration.

This collection of information is required by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

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U.S. APPLICATION NO. <b>10/540057</b> (37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP2003/051105		ATTORNEY'S DOCKET NUMBER TIP0044- USPCT	
21. <input checked="" type="checkbox"/> The following fees are submitted:  <input checked="" type="checkbox"/> a) Basic national fee .....\$300.00 <input checked="" type="checkbox"/> b) Examination fee.....\$200.00 <input checked="" type="checkbox"/> c) Search fee.....\$500.00  TOTAL OF ABOVE CALCULATIONS = \$ 1000.00				Applicant use	Office use only
				\$	
				\$	
				\$	
				\$ 1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
52 - 100 =	0 /50 =	0	x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	12 -20	0	x \$50.00		
Independent claims	3 -3	0	x 200.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$1000.00	
Amount to be refunded:					\$
Amount to be charged:					\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>10-0750/TIP0044-USPCT/AGK</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>10-0750/TIP0044-USPCT/AGK</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:				/Alana G. Kriegsman/ _____ Signature	
Philip S. Johnson, Esq. Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 USA				Name: Alana G. Kriegsman Reg. No. 41,747 Attorney for Applicants _____ Dated: June 22, 2005	

10/540057

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jan Ludwig GOEMAN; Koenraad Lodewijk August VAN ACKER; Johan  
Theo Andre VAN DER EYCKEN; Inge DIERYNCK

For: FLUOROGENIC ENZYME SUBSTRATES AND METHODS OF  
PREPARATION

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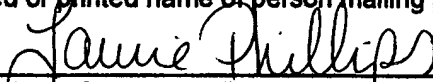
I hereby certify that this entry into the National Phase pursuant to 35 USC 371 contains  
complete application:

<input checked="" type="checkbox"/>	Express Mail Certificate	(1 page)
<input checked="" type="checkbox"/>	Return Receipt Postcard	(1 page)
<input checked="" type="checkbox"/>	PCT FORM 1390 Transmittal	(2 pages & copy)
<input checked="" type="checkbox"/>	Copy of International Application as filed	(50 pages)
<input checked="" type="checkbox"/>	Drawings as filed	(3 sheets)
<input checked="" type="checkbox"/>	Published Appl. No. WO 2004/058787A2	(53 pages)
<input checked="" type="checkbox"/>	Published Appl. No. WO 2004/058787A3	(6 pages)
<input checked="" type="checkbox"/>	Preliminary Amendment	(11 pages)
<input checked="" type="checkbox"/>	Power of Attorney or Authorization of Agent	(1 page)
<input checked="" type="checkbox"/>	Certificate Under 37 CFR 3.73 (b)	(5 pages)
<input checked="" type="checkbox"/>	PCT Request & Declaration (executed)	(9 pages)

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Laurie Phillips

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